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PITTAS \ KOENIG
Counsellors at Law

DATE: November 10, 2004

P.O. Box 980
Winchester, MA 01890

F A X C O M M U N I C A T I O N

TO: Frantzy POINVIL FAX: 703-872-9326
PRIMARY EXAMINER PHONE: 703-308-1113
Group Art Unit 3628

FROM: Philip Koenig FAX: 781.721.9597
TEL: 781.721.6800

RE:

Application SN 09/228909
Title: Trading Systems for Fixed-Value Contracts
Applicants: Lawrence Kohls et al

MESSAGE

Please find enclosed, for filing in this case, an Amendment in response to Office Action mailed 4 November 2004, and a Listing of Claims Pending upon submission of said Amendment.

Sincerely yours,


Philip Koenig

Reg. No. 31,386

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CERTIFICATE OF FACSIMILE TRANSMISSION

This correspondence is being transmitted by facsimile transmission to the United States Patent and Trademark Office on November 10, 2004, to Fax No. 703-872-9326

By Philip Koenig

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No: 09/228909

Attorney Docket No: LAK-1

Initially Filed: January 11, 1999

RCE Filed: June 19, 2002

Art Unit: 3628

Applicants: Lawrence KOHLS et al

Primary Examiner: Frantzy POINVIL

Title: **TRADING SYSTEM FOR FIXED VALUE CONTRACTS**

TRANSMITTAL OF AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

By Facsimile to: 703-872-9326

Commissioner:

Herewith please find enclosed the following papers for filing in the matter of the above-identified application for patent:

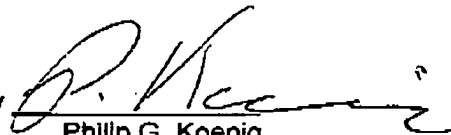
1. Amendment under 37 CFR 1.111 in response to Office Action of 4 November 2004
2. Listing of Claims Pending at November 10, 2004 upon submission of amendment to cure informalities

Should any fee may be due upon the filing of this Amendment, you are hereby requested and authorized to charge any such fee to Pittas // Koenig deposit account No. 502052.

Respectfully submitted,

Dated: November 10, 2004

By



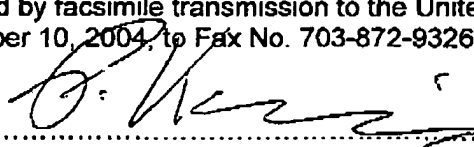
Philip G. Koenig
Reg. No. 31,386
PITTAS & KOENIG
P.O. Box 980
Winchester, MA 01890
(781) 721-6800

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lawrence KOHLS et al

Docket No. LAK-1

Application No. 09/228909

Art Unit: 3628

Initial Filing date: 01/11/1999

Examiner: Frantzy POINVIL

RCE Filing date: 06/19/2002

Title: TRADING SYSTEMS FOR FIXED-VALUE CONTRACTS

AMENDMENT UNDER 37 CFR 1.111

IN RESPONSE TO OFFICE ACTION OF 4 NOVEMBER 2004

Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

Commissioner:

In response to the Office Action mailed 4 November 2004, applicants submit this Amendment in order to cure the minor informalities, in a number of the claims presented, cited in said Office Action.